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04/12/01
JCS60 U.S. PTO

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 2001_0429A

First Named Inventor : Koichi MORIOKA et al.

Title: OPTICAL DISC, REPRODUCTION APPARATUS, AND IDENTIFIER SELECTING APPARATUS

Express Mail Label No.:

PTO

J1097/832960

04/12/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. [X] Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i>	7. [] CD-ROM or CD-R in duplicate, large table or computer program (Appendix)
2. [] Small Entity Status is hereby asserted.	8. [] Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i>
3. [X] Specification <i>(preferred arrangement set forth below)</i>	a. [] Computer Readable Form
- Descriptive title of the Invention	b. Specification Sequence Listing on:
- Cross References to Related Applications	i. [] CD-ROM or CD-R (2 copies); or
- Statement Regarding Fed sponsored R & D	ii. [] Paper
- Reference to Sequence Listing, a table, or a computer program listing appendix.	c. [] Statement verifying identity of above copies
- Background of the Invention	
- Brief Summary of the Invention	
- Brief Description of the Drawings <i>(if filed)</i>	
- Detailed Description	
- Claim(s)	
- Abstract of the Disclosure	
4. [X] Drawing(s) <i>(35 USC 113)</i>	<i>(Total Sheets: 22)</i>
5. [X] Oath or Declaration	<i>(Total Pages : 3)</i>
a.1. [] Newly executed (original or copy)	9. [] Assignment Papers (cover sheet & document(s))
a.2. [X] Unexecuted	10. [] 37 CFR 3.73(b) Statement <i>(when there is an assignee)</i>
b. [] Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	[] Power of Attorney
i. [] DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	11. [] English Translation Document <i>(if applicable)</i>
6. [] Application Data Sheet (see 37 CFR 1.76)	12. [] Information Disclosure Statement (IDS)/PTO-1449
	[] Copies of IDS Citations
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :	13. [] Preliminary Amendment
[] Continuation [] Divisional [] Continuation-in-part (CIP)	14. [X] Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i>
	15. [] Certified Copy of Priority Document(s) <i>(if foreign priority is claimed)</i>
	16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
	17. [X] Other COVER LETTER FOR APPLICATION FILED WITHOUT EXECUTED DECLARATION

ACCOMPANYING APPLICATION PARTS

- 9. [] Assignment Papers (cover sheet & document(s))
- 10. [] 37 CFR 3.73(b) Statement *(when there is an assignee)*
- [] Power of Attorney
- 11. [] English Translation Document *(if applicable)*
- 12. [] Information Disclosure Statement (IDS)/PTO-1449
- [] Copies of IDS Citations
- 13. [] Preliminary Amendment
- 14. [X] Return Receipt Postcard (MPEP 503) *(Should be specifically itemized)*
- 15. [] Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- 16. [] Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
- 17. [X] Other **COVER LETTER FOR APPLICATION FILED WITHOUT EXECUTED DECLARATION**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below, and in a preliminary amendment, or in an Application Data Sheet :

[] Continuation [] Divisional [] Continuation-in-part (CIP) of prior application No.

Prior Application Information: Examiner Group Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference therein. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS



000513

PATENT TRADEMARK OFFICE

By:

Michael S. Huppert
Registration No. 40,268

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April 12, 2001

**THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koichi MORIOKA et al.

Serial No. NEW

: Attn: APPLICATION BRANCH

Filed April 12, 2001

: Attorney Docket No. 2001_0429A

OPTICAL DISC, REPRODUCTION APPARATUS,
AND DISC IDENTIFIER SELECTING APPARATUS

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents,
Washington, DC 20231

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

Sir:

Attached hereto is a check in the amount of \$950.00 to cover Patent Office fees relating to filing the following attached papers:

New application \$710.00

Assignment for Recordal \$

Additional Claims Fee:

Excess of Twenty \$

Independent \$240.00

Multiple Dependent Fee \$

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Koichi MORIOKA et al.

By Michael S. Huppert
Michael S. Huppert
Registration No. 40,268
Attorney for Applicants

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April 12, 2001

[Check No. U3913]
2001_0429A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Koichi MORIOKA et al. : Attn: APPLICATION BRANCH

Serial No. NEW : Docket No. 2001_0429A

Filed April 12, 2001

OPTICAL DISC, REPRODUCTION APPARATUS,
AND DISC IDENTIFIER SELECTING APPARATUS

**COVER LETTER FOR APPLICATION FILED
WITHOUT EXECUTED DECLARATION**

Assistant Commissioner for Patents,

Washington, DC 20231

Sir:

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

The above-identified application has been submitted without an executed oath or declaration pursuant to 37 CFR 1.41(c).

It is respectfully requested that this application be assigned a serial number and awarded a filing date pursuant to 37 CFR 1.53.

A duly executed oath or declaration pursuant to 37 CFR 1.63 will be submitted after notification by the U.S. Patent and Trademark Office pursuant to 37 CFR 1.53(f).

A non-executed copy of the Declaration and Power of Attorney, containing the inventorship information, is attached. It is respectfully requested that all communications be directed to the firm indicated on the attached Declaration and Power of Attorney, namely:

WENDEROTH, LIND & PONACK, L.L.P.
2033 K Street, N.W., Suite 800
Washington, D.C. 20006

The required U.S. Patent and Trademark Office Filing Fee is submitted herewith.

Respectfully submitted,

Koichi MORIOKA et al.

By *Michael S. Huppert*
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